

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.	10/089,557
Filing Date	April 1, 2002
First Named Inventor	Xuebao LI
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket No.	2577-139

Title of the Invention:

ISOLATION AND CHARACTERIZATION OF A FIBER SPECIFIC ACTIN

PROMOTER FROM COTTON

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. §371

Assistant Commissioner for Patents Box PCT Washington, DC 20231

Sir:

In response to the Notification of Missing Requirements dated June 18, 2002, response copy attached, Applicants submit an original Sequence Listing in computer readable form and paper copy and request its entry into the specification. Applicants hereby state that the sequence information contained in the computer readable form and paper copy are the same and contain no new matter.

RESPECTFULLY SUBMITTED,							
Name and Reg. Nunber	Mark I. Bowditch Registration No. 40,315						
Signature	Mars. Roudle			DAT	E 7/17/02		
Address							
City	Washington	State	D.C.		Zip Code	20005	
Country	U.S.A.	Telephone	202-783-604	0	Fax	202-783-6031	

525 Report 18 JUL 2002

Attorney's Docket No. U.S. Department of Commerce Patent and Trademark Office FORM PTO-1390 2577-139A TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. Application No. (if known, see 37 CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/089,557 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. August 1, 2000 PCT/SG00/00112 TITLE OF INVENTION ISOLATION AND CHARACTERIZATION OF A FIBER-SPECIFIC ACTIN PROMOTER FROM COTTON APPLICANT(S) FOR DO/EO/US Xuebao LI, Lin CAI, Ninghui CHENG and Jian-Wei LIU Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 [X] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [] is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9.] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 10. (35 U.S.C. 371(c)(5)). ITEMS 11. TO 20. below concern other document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

- A FIRST preliminary amendment.
- A SECOND or SUBSEQUENT preliminary amendment.
- A substitute specification.
- A change of power of attorney and/or address letter.
- 17. [X] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- A second copy of the published international application under 35 U.S.C. 154(d)(4).
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19.
- 20. [X] Other items or information: PAPER COPY OF A SEQUENCE LISTING; COPY OF NOTIFICATION

U.S. APPLICATION NO. (If known, s	S. APPLICATION NO. (If known, see 37 CFR 1.50) O/089, 557 INTERNATIONAL APPLICATION NO. PCT/SG00/00112			ATTORNEY DOCKET NO. 2577-139A	
21. [X] The following fees are submitted: Basic National Fee (37 CFR 1.492)(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report Not Prepared by EPO or JPO. \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report has been prepared by the EPO or JPO. \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4). \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4). \$100.00				CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for fur months from the earliest clai	\$ \$ 130.00				
Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 =		X \$18.00	\$	
Independent Claims	- 3 =		X \$84.00	\$	
Multiple dependent claim(s)	(if applicable)		+ \$280.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =			\$ 130.00		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
		TOTAL FE	ES ENCLOSED =	\$ 170.00	
				Amount to be refunded	\$
				charged	\$
 a. X A check in the amount of \$130.00 & 40.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 02-2135 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. 					
SEND ALL CORRESPONDENCE TO: Signature Signature					
Customer No. 6449 Mark I. Bowditch Name					
Rothwell, Figg, Ernst & Manbeck 1425 K Street, N.W. Washington, D.C. 20005 Phone: 202/783-6040 The PTO did not receive the following Number Registration Number					
	Recd co	Pu #130.00			

FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

2577-139A

U.S. Application No. (if known, see 37 CFR 1.5)

10/089,557

INTERNATIONAL APPLICATION NO. PCT/SG00/00112

INTERNATIONAL FILING DATE August 1, 2000

PRIORITY DATE CLAIMED

TITLE OF INVENTION

ISOLATION AND CHARACTERIZATION OF A FIBER-SPECIFIC ACTIN PROMOTER FROM COTTON

APPLICANT(S) FOR DO/EO/US

Xuebao LI, Lin CAI, Ninghui CHENG and Jian-Wei LIU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
 [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
 [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
 [] The US has been elected by the expiration of 19 months from the priority date (Article 31).
- 5. [] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 a. [] is attached hereto (required only if not communicated by the International Bureau).
 - b. [] has been communicated by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
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 - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).b. [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
- 14. [] A SECOND or SUBSEQUENT preliminary amendment.
- 15. [] A substitute specification.
- 16. [] A change of power of attorney and/or address letter.
- 17. [X] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
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- 20. [X] Other items or information: PAPER COPY OF A SEQUENCE LISTING; COPY OF NOTIFICATION

U.S. APPLICATION NO. (If known, 10/089, 557	APPLICATION NO. (If known, see 37 CFR 1.50) INTERNATIONAL APPLICATION NO. PCT/SG00/00112			ATTORNEY DOCKET NO. 2577-139A	
21. [X] The following fees are submitted: Basic National Fee (37 CFR 1.492)(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report Not Prepared by EPO or JPO. \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report has been prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$710.00 ENTER APPROPRIATE BASIC FEE AMOUNT =			<u>CALCULATIONS</u>	PTO USE ONLY	
•	Surcharge of \$130.00 for furnishing the oath or declaration later than [X] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				
Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 =		X \$18.00	\$	
Independent Claims	- 3 =		X \$84.00	\$	
Multiple dependent claim(s) (if applicable)		+ \$280.00	\$	
	TOTAL OF ABOVE CALCULATIONS =				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =			\$ 130.00		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =			\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
		TOTAL FE	ES ENCLOSED =	\$ 170.00	
				Amount to be refunded	\$
				charged	\$
 a. X A check in the amount of \$130.00 & 40.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 02-2135 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. 					
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Signature Signature					
Customer No. 6449					
Mark I. Bowditch Name Rothwell, Figg, Ernst & Manbeck					
1425 K Street, N.W. Washington, D.C. 20005 Phone: 202/783-6040 40,315 Registration Number				r	



UNITED STATES PATENT AND TRADEMARK OFFICE

Conversioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 2023

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/089,557 Xuebao Li 2577-139

6449 ROTHWELL, FIGG, ERNST & MANBECK, P.C. 1425 K STREET, N.W.

SUITE 800 WASHINGTON, DC 20005 INTERNATIONAL APPLICATION NO.

PCT/SG00/00112

I.A. FILING DATE PRIORITY DATE

08/01/2000

CONFIRMATION NO. 3290
371 FORMALITIES LETTER

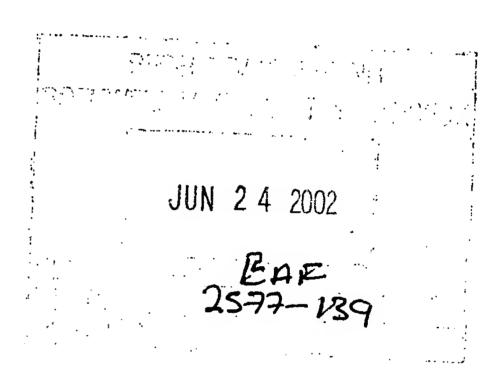
OC000000008295320

Date Mailed: 06/18/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Assignee Statement
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration



The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
 - Not executed by all inventors.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - **■** APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

07/23/2002 SNAJARRO 00000096 10089557

BARBARA A CAMPBELL

01 FC:154

130.00 OP

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/089.557	PCT/SG00/00112	2577-139

FORM PCT/DO/EO/905 (371 Formalities Notice)